



**GLOBAL PULSE
CHECKLIST
FOR WORKING WITH
PROSPECTIVE
TECHNOLOGY
PARTNERS
(Sample)**

SECTION A. GENERAL INFORMATION

I. Organization Contact Information

1. Full legal name:

Hereinafter referred to in this documents as:

2. Contact information for the Organization's headquarters or primary place of business or operations (if different from the Organization's headquarters):

Address:

Telephone:

Email:

Website

II. Registration Information

1. Please indicate a full list of countries or regions in which the Organisation is incorporated, registered, owns property, or is qualified to and/or conduct business in (including type of business in each place, if available):
2. Type of entity/legal status of the Organisation in place of registration (e.g., limited liability company, proprietary company, limited liability partnership):
3. Year of the Organisation establishment/registration:
4. Where/with what entity is the Organisation registered:

III. Industry Sector Information

1. Main products/services offered by the organization:

2. Please provide a brief description of the Organization and the purposes for which such services/tools will be used by Global Pulse:

- a. Please indicate which specific services/tools will be used in the partnership with Global Pulse:

- b. Please specify any data used by the Organization or the Organization's data supplier, data reseller, data broker, data provider, or data processor:

[Redacted]

- c. Please specify any major partner (e.g., tool provider, data supplier etc.)

[Redacted]

3. Relevant industrial, trade, and other associations of which the Organization is a member, if any:

[Redacted]

IV. Previous Engagement with the UN *Please provide the following Information, if known, and attach additional pages if necessary.*

1. Is the Organisation currently involved or has it been involved in any partnerships or vendor relationship with the UN or any UN entity(ies) in the past ten years?
 Yes No Unknown

If YES, please provide the name of such UN entity(ies):

[Redacted]

2. Has the organization had any major partnerships or collaboration with other international, intergovernmental, multilateral or civil society organizations (other than the UN) in the past five years?
 Yes No Unknown

If YES, please provide the name of such non-UN organization(s):

[Redacted]

If YES, please specify the nature of the partnership with such non-UN organization(s):

[Redacted]

SECTION B. CORPORATE PROFILE

I. Corporate Image *Please provide the following Information, if known, and attach additional pages if necessary.*

1. Is the Organisation, including any parent, subsidiary or affiliate thereof or any employees, officials, representatives and agents that it controls, controls it, or with which it is under common control, or is associated with directly or indirectly, included on the UN Security Council Committee's "Al-Qaida Sanctions List" pursuant to resolution 1267 (<http://www.un.org/sc/committees/1267/pdf/AQList>)?

Yes No

2. Has at any time, the Organisation, including any parent, subsidiary or affiliate thereof or any the Organisation or employees, officials, representatives and agents that it controls, controls it, or with which it is under common control, or is associated with directly or indirectly, been involved in:
- a. Exposure to use of forced labour or compulsory labour?
 Yes No
 - b. Exploitation or use of child labour?
 Yes No
 - c. Gender or minority discriminatory hiring and promotion policies?
 Yes No
 - d. Discriminatory hiring and promotion policies in regards to persons with disabilities?
 Yes No
 - e. Sexual abuse, exploitation, discrimination, harassment?
 Yes No
 - f. Manufacture, sale or distribution of replica arms marketed to children?
 Yes No
 - g. Manufacture, sale or distribution of any armaments, weapons, other military equipment or related products, anti-personnel landmines or cluster bombs, or non-dual use components for armaments, weapons, other military equipment or related products?
 Yes No
 - h. Marketing or the manufacture of breast milk substitutes contrary to the WHO Guidelines on Marketing Breast Milk Substitutes?
 Yes No
 - i. Manufacture, sale or distribution of tobacco or tobacco products?
 Yes No
 - j. Directly or indirectly endorsed, funded or promoted by the tobacco industry or a subsidiary of a tobacco company?
 Yes No
 - k. Manufacture, sale or distribution of alcohol?
 Yes No
 - l. Manufacture, sale or distribution of pornography?
 Yes No
 - m. Gambling (other than lotteries with charitable objectives)?
 Yes No
 - n. Exploration for or extraction of natural resources?
 Yes No

- o. Manufacture, sale, distribution or use of pesticides/herbicides subject to international bans or phase-outs, asbestos, ozone-depleting substances or other activities in violation of national and international environmental standards?
 Yes No
- p. Financing or promotion of terrorism or entities that are classified as terrorist or paramilitary organizations?
 Yes No
- q. Aiding any government in surveillance at any time including at times of political instability?
 Yes No
- r. Privacy and Data Protection violations, including non-authorized data collection, processing and any other use?
 Yes No
- s. Any other Human Rights related violations not mentioned above (see <http://www.ohchr.org/EN/Issues/Pages/ListOfIssues.aspx>)?
 Yes No

*If answered **YES** to any of the above questions, please provide any relevant information for each one of such items or attach additional pages, if necessary.*

- 3. Has the Organisation, including any parent, subsidiary or affiliate thereof, or employees, officials, representatives and agents that it controls, controls it, or with which it is under common control, or is associated with directly or indirectly:

- a. Been involved in allegation or finding of bribery within the past five years?
 Yes No Unknown

*If **YES**, please explain:*

- b. Involved in pending or threatened litigation, claim, arbitration proceeding or investigation on an ethical issue (misconduct, including professional misconduct)?
 Yes No Unknown

*If **YES**, please explain:*

- c. Involved in pending or threatened litigation, claim, arbitration proceeding or investigation on violation of privacy and data protection issues, including non-authorized collection, use and reuse of data?
 Yes No Unknown

*If **YES**, please explain:*

- d. Been subject to any United Nations sanction (see <http://www.un.org/sc/committees/>) in the last ten years?
 Yes No Unknown

If YES, please explain:

- e. Been sanctioned by a national government, in the last ten years, including any national data protection authorities (e.g.: <http://www.nortonrosefulbright.com/files/global-data-privacy-directory-52687.pdf>)?
 Yes No Unknown

If YES, please explain:

- f. Included on the IIC Oil for Food List?
 Yes No Unknown

If YES, please explain:

- g. Been the subject of any major criticism by a civil society organization, government, or media, or other entity that would reflect negatively upon the Organisation in the last five years?
 Yes No Unknown

If YES, please explain:

4. Has the Organization's major supplier, data reseller, data broker, data controller, data provider and processor, (hereinafter referred to as "major supplier") been involved or is Involved in pending or threatened litigation, claim, arbitration proceeding or investigation on an ethical issue, violation of privacy and data protection issues, including unauthorized collection, use and reuse of data? **** (For list of data sources and data suppliers, also see Section A, III, 2)**
 Yes No Unknown

If YES or UNKNOWN, please explain:

II. Corporate Social Responsibility *Please provide the following Information, if known, and attach additional pages if necessary.*

1. Please **list or provide a link** on the Organisation's website to any substantial social or philanthropic activities that the Organisation is presently engaged in or has been engaged in over the past three years (including human rights, gender equality, access to food, environmental, data privacy and data protection causes etc.):

[Redacted]

2. Please **attach a copy or provide a link** on the Organisation's website to the most recent Corporate Social Responsibility report, if any:

[Redacted]

III. Environmental Standards, Sustainability and Accountability *Please provide the following Information, if known, and attach additional pages if necessary.*

If applicable, please attach a copy or provide a link on the Organisation's website to the most recent Sustainability Report or Labour Certification, if any (e.g.: <http://database.globalreporting.org/search>):

[Redacted]

IV. Ethical Information and Policy Compatibility *Please provide the following Information, if known, and attach additional pages if necessary.*

1. Does the Organisation have a sustainability policy, ethics policy, privacy and data protection policy, principles and/or code of conduct?
 Yes No

If YES, please attach or provide a link and describe:

[Redacted]

If YES, please check and indicate if such principles are based on or are in adherence with any industry recognized ethic, sustainability, environmental principles, standards or guidelines, including privacy and data protection standards and principles (e.g., OECD Guidelines for Governing the Protecting of Privacy and Transborder Flows of Personal Data - <http://www.oecd.org/sti/ieconomy/2013-oecd-privacy-guidelines.pdf> or GSMA Mobile Privacy Principles <http://www.gsma.com/publicpolicy/wp-content/uploads/2012/03/gsmaprivacyprinciples2012.pdf>, Voluntary Principles on Security and Human Rights <http://www.voluntaryprinciples.org/principles/introduction>):

- Yes No Unknown

If YES, please specify:

[Redacted]

If NO, please indicate if the Organisation is part of any group, organization, association that promotes and establishes principles, standards and guidelines on

ethic, sustainability, environmental principles, including privacy and data protection standards:

Yes No Unknown

2. Does the Organisation have data security related certificates (e.g., TRUSTe, BPA Worldwide and ePrivacyconsult, etc.)?

Yes No Unknown

If YES, please specify:

SECTION C. PARTNERSHIP GUIDELINES AND PRINCIPLES

- Please check that the information above is in accordance with the applicable UN general principles, guidelines. Note that it is essential that the Organisation's partnership with Global Pulse is at minimum in compliance with the following documents:
 - UN Secretary-General's bulletin on Acceptance of pro bono goods and services, ST/SGB/2006/5 (see http://www.un.org/partnerships/Docs/pro_bono.pdf)
 - UN Principles on Personal Data Protection and Privacy (see <https://www.unsceb.org/privacy-principles>)
 - UNDG Guidance Note on Data Privacy, Ethics and Protection for Big Data for the Achievement of the 2030 Agenda. (<https://undg.org/document/data-privacy-ethics-and-protection-guidance-note-on-big-data-for-achievement-of-the-2030-agenda/>)
 - Global Pulse's privacy and data protection principles (see <http://www.unglobalpulse.org/privacy-and-data-protection>)
- Please ensure the Organisation is aware of and understands that the partnership with Global Pulse cannot and will not result in de facto standardization of the Organisation's goods or services within the UN, or create a need for further goods and services that can be supplied only by the Organisation, and should not and will not give the Organisation an undue competitive advantage in a procurement exercise for the supply of further goods or services.
- Please ensure the Organisation understands that Global Pulse cannot and will not be endorsing the company or its products and services.
- Please check and confirm if there any other information not otherwise requested and disclosed above that could be material to a partnership with Global Pulse, disrupt, terminate it or be inconsistent with the UN's mandates, highest moral and ethical values.

Yes No

If YES, please specify:

Due Diligence Report Prepared by:

Name:
Title:
Signature:
Date:

