



**GLOBAL PULSE
QUESTIONNAIRE
FOR PROSPECTIVE
TECHNOLOGY
PARTNERS
(SAMPLE)**

SECTION A. GENERAL INFORMATION

I. Organization Contact Information

1. Full legal name:

Hereinafter referred to in this documents as:

2. Contact information for the Organization's headquarters or primary place of business or operations (if different from the Organization's headquarters):

Address:

Telephone:

Email:

Website

II. Registration Information

1. Please indicate a full list of countries or regions in which the Organisation is incorporated, registered, owns property, or is qualified to and/or conduct business in (including type of business in each place, if available):

2. Type of entity/legal status of the Organisation in place of registration (e.g., limited liability Organisation, proprietary Organisation, limited liability partnership):

3. Year of the Organisation establishment/registration:

4. Where/with what entity is the Organisation registered:

III. Industry Sector Information

1. Main products/services offered by the organization:

2. Relevant industrial, trade, and other associations of which the Organisation is a member, if any:

IV. Previous Engagement with the UN

1. Is the Organisation currently involved or has it been involved in any partnerships or vendor relationship with the UN or any UN entity(ies) in the past ten years?

Yes No

If YES, please provide the name of such UN entity(ies):

2. Has the organization had any major partnerships or collaboration with other international, intergovernmental, multilateral or civil society organizations (other than the UN) in the past five years?

Yes No

If YES, please provide the name of such non-UN organization(s):

SECTION B. CORPORATE PROFILE

I. Corporate Image *Please provide the following Information, if known, and attach additional pages if necessary.*

1. Is the Organisation, including any parent, subsidiary or affiliate thereof or any employees, officials, representatives and agents that it controls, controls it, or with which it is under common control, or is associated with directly or indirectly, included on the UN Security Council Committee's "Al-Qaida Sanctions List" pursuant to resolution 1267 (<http://www.un.org/sc/committees/1267/pdf/AQList>)?

Yes No

2. Has at any time, the Organisation, including any parent, subsidiary or affiliate thereof or any the Organisation or employees, officials, representatives and agents that it controls, controls it, or with which it is under common control, or is associated with directly or indirectly, been involved in:

- a. Exposure to use of forced labour or compulsory labour?

Yes No

If yes, provide the % of the total revenue

- b. Exploitation or use of child labour?

Yes No

If yes, provide the % of the total revenue

- c. Gender or minority discriminatory hiring and promotion policies?

Yes No

If yes, provide the % of the total revenue

- d. Discriminatory hiring and promotion policies in regards to persons with disabilities?

Yes No

If yes, provide the % of the total revenue

- e. Sexual abuse, exploitation, discrimination, harassment?

Yes No

If yes, provide the % of the total revenue

- f. Manufacture, sale or distribution of replica arms marketed to children?

Yes No

If yes, provide the % of the total revenue

- g. Manufacture, sale or distribution of any armaments, weapons, other military equipment or related products, anti-personnel landmines or cluster bombs, or non-dual use components for armaments, weapons, other military equipment or related products?

Yes No

If yes, provide the % of the total revenue

- h. Marketing or the manufacture of breast milk substitutes contrary to the WHO Guidelines on Marketing Breast Milk Substitutes?

Yes No

If yes, provide the % of the total revenue

- i. Manufacture, sale or distribution of tobacco or tobacco products?

Yes No

If yes, provide the % of the total revenue

- j. Directly or indirectly endorsed, funded or promoted by the tobacco industry or a subsidiary of a tobacco Organisation?
 Yes No

If yes, provide the % of the total revenue

- k. Manufacture, sale or distribution of alcohol?
 Yes No

If yes, provide the % of the total revenue

- l. Manufacture, sale or distribution of pornography?
 Yes No

If yes, provide the % of the total revenue

- m. Gambling (other than lotteries with charitable objectives)?
 Yes No

If yes, provide the % of the total revenue

- n. Exploration for or extraction of natural resources?
 Yes No

If yes, provide the % of the total revenue

- o. Manufacture, sale, distribution or use of pesticides/herbicides subject to international bans or phase-outs, asbestos, ozone-depleting substances or other activities in violation of national and international environmental standards?
 Yes No

If yes, provide the % of the total revenue

- p. Financing or promotion of terrorism or entities that are classified as terrorist or paramilitary organizations?
 Yes No

If yes, provide the % of the total revenue

- q. Aiding any government in surveillance at any time including at times of political instability?

Yes No

If yes, provide the % of the total revenue

- r. Privacy and Data Protection violations, including non-authorized data collection, processing and any other use?

Yes No

If yes, provide the % of the total revenue

- s. Any other Human Rights related violations not mentioned above (see <http://www.ohchr.org/EN/Issues/Pages/ListOfIssues.aspx>)?

*If answered **YES** to any of the above questions, please provide any relevant information for each one of such items or attach additional pages, if necessary.*

3. Has the Organisation, including any parent, subsidiary or affiliate thereof, or employees, officials, representatives and agents that it controls, controls it, or with which it is under common control, or is associated with directly or indirectly:

- a. Been involved in allegation or finding of bribery within the past five years?

Yes No

*If **YES**, please explain:*

- b. Involved in pending or threatened litigation, claim, arbitration proceeding or investigation on an ethical issue (misconduct, including professional misconduct)?

Yes No

*If **YES**, please explain:*

- c. Involved in pending or threatened litigation, claim, arbitration proceeding or investigation on violation of privacy and data protection issues, including non-authorized collection, processing and other use?

Yes No

*If **YES**, please explain:*

- d. Been subject to any United Nations sanction (see <http://www.un.org/sc/committees/>) in the last ten years?
 Yes No

If YES, please explain:

- e. Been sanctioned by a national government, in the last ten years, including any national data protection authorities?

Yes No

If YES, please explain:

- f. Included on the IIC Oil for Food List?

Yes No

If YES, please explain:

- g. Been the subject of any major criticism by a civil society organization, government, or media, or other entity that would reflect negatively upon the Organisation in the last five years (including due to surveillance or unethical data collection or use practices?)

Yes No

If YES, please explain:

II. Corporate Social Responsibility and other commitment to environmental, Social and Governance Matters.

Please provide the following Information, if known, and attach additional pages if necessary.

1. Is the Organisation a participant in the UN Global Compact?
 Yes No

If YES, is the Organisation actively communicating its progress and level of reporting? See: [General Communication on Progress \(COP\)](#)

Yes No

2. Does the Organisation have any sustainability-related certifications or reporting (e.g. ISO14001, SA8000, AA1000, OHSAS 18001, or GRI Principles)?

Yes No

If YES, please explain:

3. Is the Organisation included in any sustainability or ESG-related indices (e.g. FTSE4Good, Dow Jones Sustainability Indexes, etc.), or similar national/regional initiatives? Does the Organisation abide by any voluntary sustainability or ethical principles or guidelines¹?

Yes No

If YES, please explain:

4. Does the Organisation have a policy and a monitoring system that seeks to prevent or mitigate adverse human rights impacts that are directly linked to its operations, including impacts to which it has not contributed?

Yes No

If YES, please explain:

¹ Examples of voluntary sustainability or ethical principles include: e.g. Principles for Responsible Investment, Extractive Industries Transparency Initiative, Voluntary Principles on Security and Human Rights, Equator Principles etc.

5. Please **list or provide a link** on the Organisation's website to any substantial social or philanthropic activities that the Organisation is presently engaged in or has been engaged in over the past three years (including human rights, gender equality, access to food, environmental, data privacy and data protection causes etc.):

[Redacted]

6. Please **attach a copy or provide a link** on the Organisation's website to the most recent Corporate Social Responsibility report, if any:

[Redacted]

III. Environmental Standards, Sustainability and Accountability. Please provide the following information, if known, and attach additional pages if necessary.

For example, relevant information may include questions such as if the Organization has a policy and a monitoring system which it applies to working with suppliers to improve environmental performance, extending responsibility down the supply chain; actions taken to reduce emissions or energy consumption.

If applicable, please attach a copy or provide a link on the Organisation's website to the most recent Sustainability Report Certification, if any (e.g.: <http://database.globalreporting.org/search>):

[Redacted]

IV. Ethical Information, Labour and Policy Compatibility Please provide the following information, if known, and attach additional pages if necessary.

1. Does the Organisation have a policy and a monitoring system to ensure fair labor practices² at its operations?
 Yes No

If YES, please attach or provide a link and describe, answering questions below:

² International Labor Standards provide a framework for fair labor practices. The fundamental conventions include: Freedom of Association and Protection of the Right to Organise Convention, 1948; Right to Organise and Collective Bargaining Convention, 1949; Forced Labour Convention, 1930; Minimum Age Convention, 1973 (No. 138); Worst Forms of Child Labour Convention, 1999; Equal Remuneration Convention, 1951; and Discrimination (Employment and Occupation) Convention, 1958. ([ILO](#))



Does the policy and monitoring system adequately ensure **equal opportunity to all** employees and applicants regardless of race, color, age, gender, sexual orientation, religion, marital status?

Yes No

Does the Organisation have a policy and a monitoring system that seeks to promote fair labor practices in its interactions with **suppliers and business partners**?

Yes No

Does the policy and monitoring system ensure **freedom of association and the right to collective bargaining**?

Yes No

Does the policy and monitoring system ensure the elimination of **forced or compulsory labor**?

Yes No

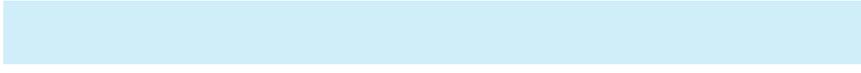
Does the policy and monitoring system ensure the elimination of **child labor**?

Yes No

2. Does the Organisation have a policy and a monitoring system stating that it will not engage in corruption at any time or in any form in its interaction with suppliers, intermediaries, governments and business partners?

Yes No

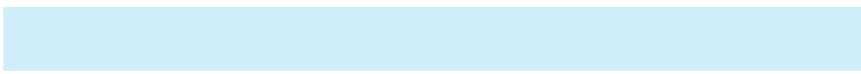
If YES, please attach or provide a link and describe:



3. Does the Organisation have digital ethics, data privacy and data protection policy, principles and/or code of conduct?

Yes No

If YES, please attach or provide a link and describe:



If NO, please indicate if the Organisation is part of any group, organization, association that promotes and establishes principles, standards and guidelines on ethic, sustainability, environmental principles, including privacy and data protection standards:

Yes No

If YES, please specify:



4. Does the Organisation have data security related certificates (e.g., TRUSTe, BPA Worldwide and ePrivacyconsult, etc.)?

Yes No

If YES, please specify:

[Redacted]

Is there any other information not otherwise requested and disclosed above that, in the Organisation's judgment, could be material to a collaboration with the UN, Global Pulse, disrupt, terminate it or be inconsistent with the UN's mandates and/or highest values and ethical principles of moral conduct?

Yes No

If YES, please specify:

[Redacted]

Survey completed by:

Name:

[Redacted]

Signature:

[Redacted]

Date

[Redacted]